

Risk	Sub No	Sub Risk	Impact / Consequences	Opportunity	Gross Risk Score			Controls and Mitigation	Net Risk Score			New Developing Controls	Risk Manager	CLT Risk Owner	Target Date	Corporate Priority	
					I	L	GS		I	L	NS						
Ineffective Governance	7a	Non-compliance with statutory requirements and internal procedures.	External challenge.		4	5	20	Statutory legal and financial officers in place.	3	4	12	Raise awareness of standards / required and awareness of the consequence of failure.	Head of Democratic Governance	Director of Governance and Partnerships	Ongoing	Deliver quality services	
			Quality of service compromised.					Policy team research / proactive consultation response				Consistent use of disciplinary / capability procedures across the Council for serious instances on non-compliance.					Head of Organisation and Workforce Development
			Health and safety compromised.					Assurance mechanisms such as internal audit, external audit, peer review and external assessments.									
								Constitution and Financial Regulations in place									
								Disciplinary procedures in place.									
								Health and safety procedures in place									
	7b	Lack of effective risk management embedded across the Council.	Ineffective decision making.	Potential to make savings through effectively managing risks	5	4	20	Risk management framework and toolkit in place.	4	3	12	Increased accountability and challenge of the strategic risk register to ensure appropriate action is being taken to reduce risks.	Chief Internal Auditor	Director of Resources	Ongoing	Deliver quality services	

		Increased insurance claims.					Service and strategic level risk registers in place.				Revisit each risk management group to ensure that it is working effectively and following the requirements of the risk management framework.				
							Departmental and thematic risk management groups in place.								
							Risk management consider as part of decision making process.								
7c	Increased risk of fraud.	Erosion of internal controls and less resource to tackle fraud.	Increased use of Proceeds of Crime Act.	5	4	20	Anti-fraud and corruption policy in place.	5	3	15	Focus on high risk areas of fraud such as insurance fraud.	Chief Internal Auditor	Director of Resources	Ongoing	Deliver quality services
							Participation in the National Fraud Initiative.				Embed process for investigating Council Tax Reduction Scheme Fraud.				
							Annual internal audit plan in place.				Increase fraud awareness training Council wide.				
7d	Data theft and leakage.	Significant fines from the Information Commissioner	The serious nature of the risk and its consequences will encourage departments to work with ICT to implement robust processes.	4	5	20	Working with services to undertake risk assessments against the Information Asset Register to identify opportunities to identify areas where effort must be focused to reduce the likelihood of a data breach.	4	3	12	Ensure documents and equipment are disposed of appropriately as part of the programme of office moves.	Head of ICT Services	Director of Resources	Ongoing	Deliver quality services

